

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Joseph G. GATTO                      CONFIRMATION No.: 4426  
SERIAL NUMBER : 09/722,050                      EXAMINER: Narayanswamy Subramanian  
FILING DATE : November 27, 2000                      ART UNIT: 3628  
FOR : SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Supplemental Information Disclosure Statement is being filed within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d), or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office

Action on the merits, or before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. No certification or fee is required. 37 C.F.R. §1.97(b).


Document A was cited by the U.S. Patent Office in co-pending Application Serial No. 09/893,460, filed June 29, 2001, in an Office Action dated July 25, 2007. Document B was cited by the U.S. Patent Office in co-pending Application Serial No. 10/681,286, filed October 9, 2003, in an Office Action dated May 16, 2007.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

Respectfully submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP

Customer No.  
00909

By   
Bradford C. Blaise  
Registration No.: 47,429

P. O. Box 10500  
McLean, Virginia 22102  
Telephone: (703) 770-7900  
Telefax: (703) 770-7901

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